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# Genetic diversity of Aspergillus flavus and occurrence of aflatoxin contamination in stored maize across three agro-ecological zones in Kenya

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# Abstract

**Background:** Aflatoxin contamination at pre- and post-harvest poses a serious challenge in achieving sustainable development goals on food security and food safety, in particular within the developing world. In Kenya, major outbreaks of aflatoxicoses have been reported and attributed to poor post-harvest storage practices. In this study, we conducted a cross-sectional survey within three Agro-ecological zones in Kenya, to determine occurrence and distribution of total aflatoxin in stored maize and the aflatoxigenicity potential of *Aspergillus flavus* in stored maize. The counties selected were: Kitui, Nakuru and Trans-Nzoia. Sampling sites were selected based on previous aflatoxicoses outbreaks (Kitui) and major maize production areas (Nakuru and Trans-Nzoia) where little information exists on the occurrence of aflatoxin contamination. One hundred and thirty (130) kernel maize samples were randomly collected. *Aspergillus flavus* was isolated by direct plating technique. Genetic diversity of the isolates was determined by PCR and single sequence repeats (SSR) microsatellites analysis. Positive strains were induced to produce B<sub>1</sub> aflatoxins on yeast extract sucrose agar and quantified using competitive ELISA technique.

**Results:** Total aflatoxin contamination of post-harvest stored maize samples between sites was significantly different (p = 0.000, < 0.05), with the highest contamination in Kitale at a mean of (9.68 µg/kg). *A. flavus* was isolated in 70% (N = 91) of the maize samples collected at post-harvest. *A. flavus* isolates with the highest aflatoxigenicity potential were from Nakuru County with mean aflatoxin level at 239.7 µg/kg. Genetic distance based on neighbor joining (NJ) clustered the *A. flavus* isolates into five main clusters including one clade with an admixture. Principal coordinate analysis showed five distinct clusters with both axes explaining 60.17% of the variance.

**Conclusion:** This study showed widespread distribution of aflatoxin contamination and a highly toxigenic *A. flavus* in stored maize in three major agro-ecological zones in Kenya. These results suggest a potential health risk of aflatoxin outbreaks under favorable conditions within these areas, thus calling for more investigations.

Keywords: Aspergillus flavus, Post-harvest period, Aflatoxin, SSR microsatellite markers, Kenya

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## Background

Kenya, like most of the African countries, maize (Zea mays, L), is one of the most important cereal, both as food and animal feed [1-3]. On average, 400 g of maize is consumed per day showing the levels high demand for this cereal in every home [4]. The main challenge of maize production and other cereals is susceptibility to aflatoxin contamination, which is a recurrent problem in several foodstuffs in Africa [4]. Mycotoxins are secondary metabolites produced by mycotoxigenic fungi, which are harmful to both humans and animals [5]. The most common mycotoxigenic fungi are *Aspergillus*, Fusarium and Penicillium that are found abundantly within humid areas [6]. Aspergillus flavus and Aspergillus parasiticus are ubiquitous and cosmopolitan fungi producing aflatoxins on a wide variety of substrates such as maize, peanut and cotton. Aspergillus flavus is a very important toxigenic fungus.

It produces aflatoxins that are toxic causing a serious health effects on humans and animals [7]. In sub-Saharan Africa, where most of the countries' climate is humid, farmers are therefore predisposed to high risk of aflatoxin contamination. In addition, excessive heat, lack of aeration in the storage area and lack of protection of maize stores against insects and rodents also lead to maize damage which contribute to huge economic losses [8]. The largest outbreak of aflatoxicoses to has been recorded in the world occurred in Western India in 1974 where 106 deaths and 397 cases were reported [9]. In Kenya, various cases of aflatoxin poisoning have been reported since 1981 [10] with high mortality rates being reported with children being the majority [11]. However, the highest reported aflatoxicosis outbreaks occurred in 2004 where 125 deaths and 317 cases were reported [11, 12].

In this study, we sought to determine the distribution and scope of the post-harvest contamination in stored maize in three agro-ecological zones in Kenya. In addition, genetic diversity of *A. flavus* and their toxigenicity potential was also determined.

### Methods

### Survey sites and sample collection

A cross-sectional survey was conducted on a total of 130 maize samples that were randomly sampled from three agro-ecological zones in Kenya. The survey sites included Kitui County in Eastern Kenya, a known hot spot for aflatoxicosis outbreaks, Nakuru County and Kitale District in Trans-Nzoia county which are known to be major maize producing areas in the country (Fig. 1). The maize samples had been stored for approximately 5–6 months prior to the sampling period. The collected maize kernel samples

were collected in sterile paper bags sealed and stored in less than 4  $^{\circ}\mathrm{C}.$ 

### Determination of moisture content

The moisture content for each sample was determined using the standard oven method [13]. The samples were first dried at 105 °C for 2 h to constant weight, and the mean moisture was calculated on percentage dry basis (Table 1).

### **Fungal isolation**

Maize kernel surface was surfaced sterilized for 2 min in 10% sodium hypochlorite and washed in two changes of sterile distilled water and plated on potato dextrose agar (PDA). Plated kernels were incubated at  $28\pm2$  °C for 7 days (Samson et al, 2010). Fungal growth colonies on maize kernels were visualized using stereo-binocular microscope (Magnus M24), counted and identified based on their macroscopic and microscopic features [14], [15].

### Aflatoxin analysis in collected maize samples

Aflatoxin extraction and quantification were conducted through Vicam aflatest fluorometer method as previous described by Kana et al. [16]. The detection limit of the quantification method was 2.0  $\mu$ g/kg, and the upper limit was 300  $\mu$ g/kg. For samples above 300  $\mu$ g/kg, extracts were further diluted and additional  $\times$  5 for an upper limit of 1500  $\mu$ g/kg.

# In vitro analysis of aflatoxin B<sub>1</sub> from *Aspergillus flavus* isolates

A total of ninety one (91) *A. flavus* isolates from the positive maize kernel samples were screened for aflatoxin production. Pure *A. flavus* isolates were inoculated in duplicate on aflatoxin inducing medium, yeast extract sucrose agar (YESA) and incubated in the dark for 7 days at  $28\pm2$  °C. The aflatoxin B<sub>1</sub> content in the medium was then analyzed using direct competitive ELISA as described by the manufacturer (Helica Biosystems, Fullerton, California).

### SSR genotyping of A. flavus isolates

*A. flavus* isolates were sub-cultured in Malt Extract Agar (MEA) for three days and DNA extracted from young cultures using a modified CTAB protocol (Doyle and Doyle (1990). From the extracted DNA, a Singleplex PCR was conducted with different fluorescent labels [6-carboxyfluorescein, PET (applied biosystems), NED (applied biosystems), VIC (applied biosystem)] used in distinguishing the amplification products from each during co-loading stage of the markers. PCRbased SSR marker technology for characterizing *Aspergillus flavus* was adopted in this study. Fungal DNA was



Table 1	Moisture content levels of maize collected from three different (	geographical locations
Table I	Moisture content levels of maize conected nom timee unerent	geographical locations

Sample site	AEZ	Ν	MC range	Samples > 13%	Samples < 13%	Mean (%)*
Kitui	Semi-humid–semiarid	30	13–25	29	1	19.33 <sup>a</sup>
Kitale	Sub-humid–semi-humid	40	6–34	32	8	16.39 <sup>a</sup>
Nakuru	Semi-humid	60	8–30	50	10	17.23 <sup>a</sup>

N, sample size

\*Means within a column with same superscripts are not significantly different (p > 0.05)

amplified in a master mix constituting  $10 \times$  buffer solution with of 20 mM MgCl2, 10 mM dNTP mix, 5 pmol/ µL of forward and reverse primers ("Appendix"), 5 U/  $\mu L$  Taq polymerase enzyme. PCR amplifications conditions were hot start at 94 °C for 5 min followed by 40 cycles of denaturation at 94 °C for 20 s, annealing

at 55 °C for 20 s, and extension at 72 °C for 1 min and final extension at 72 °C for 30 min. PCRs were run on Gene Amp PCR system 9700 (Perkin-Elmer, USA), and the amplifications were confirmed by visualization with GelRed staining of agarose gels and electrophoresis on a 2% w/v agarose gel (2 g agarose powder mixed with 200 ml of TBE buffer) for 35 min at 100 V.

For each sample, each fragment/band that was amplified using SSR primers was analyzed as a single locus in the haploid A. flavus genome. Positive Singleplex PCR products of the individual markers were co loaded based on the; (1) the fluorescence dye used and (2) PCR product size. Co-loading was carried out as; 1.5 µL of the pooled PCR product mixed with 8.0 µL of a cocktail of HIDI Formamide: GeneScan LIZ 500 (1:10) (applied biosystems). Denaturation was carried out at 95 °C for 5 min and subsequently chilled for an additional 5 min. The PCR products were resolved by capillary electrophoresis ABI3730. The number of alleles per locus and haploid diversity were calculated using GenALex version 6.41 and Power marker v3.25 software [17]. Genetic distance matrix was used to perform principle coordinates analysis (PCA) and analysis of molecular variance (AMOVA) [18].

### Statistics

Data were summarized and analyzed using SPSS (version 16.0.), and the Student Newman–Keul's test (SNK) and least significant differences (LSD) at 5% probability level were used to determine differences in the means among samples.

### Results

### Moisture content of stored maize

The percentage of moisture content differed across all the three sites; the widest range was from in Kitale samples, with as low as 6% and high of 34% (Table 1). Moisture content (MC) within a majority of the samples 85% (N=111) was above 13.5%, the recommended safe storage level. Within the three sampling sites, samples with MC content of above 13.5% was highest in Nakuru 83% (N=50) compared to Kitale 80% (N=32) and Kitui 3% (N=1). Percentage moisture content between sites was, however, not significantly different (p=0.23, >0.05).

# Mycoflora recovered from maize kernels collected at post-harvest

A total of five fungal genera; Aspergillus, Penicillium, Fusarium, Rhizopus and Byssochlamys spp. were isolated from the analyzed maize samples. Other fungal genera recovered were: Cladosporium, Aureobasidium, Acremonium and Exophiala. Kitale had the highest levels of A. flavus isolates (41%, N=40) followed by Nakuru (24%, N=60) and Kitui (17%, N=30) as the least Aspergillus (51.91%) and Fusarium (5.0%) fungi were the most frequent isolated fungal genus with A. flavus (82.03%) being most prevalent species (Fig. 2).

### In vitro toxigenicity of A. flavus isolates

High levels of aflatoxin production were quantified from *A. flavus* isolates recovered from the analyzed maize samples. All *A. flavus* isolates from Kitui (30), Kitale (1) and



Nakuru (32) produced high levels of aflatoxin (219.2  $\mu$ g/kg), (234  $\mu$ g/kg) and (239.7  $\mu$ g/kg), respectively (Fig. 3).

### Aflatoxin contamination in the maize samples

The levels of aflatoxin contamination in post-harvest maize samples varied across the study sites. In Kitale, aflatoxin contamination levels ranged between <2 µg/kg (undetectable levels) and 72 µg/kg with a mean of 9.70 µg/kg. However, Kitale recorded the lowest mean at 0.68 µg/kg with Kitui having the highest mean at 4.18 µg/kg. These two regions also recorded 3% aflatoxin levels that were above 10 µg/kg (Table 2). Therefore, the significant difference (p=0.00, <0.05) was observed between agro-ecological zones. Correlation between the incidence of *A. flavus* and aflatoxin levels in the analyzed maize samples was positive and significant (r=0.85; p=0.01) across the sampling sites.

Genetic structure with A. flavus isolates from the three sites was determined using principal coordinate

analysis (PCA) and neighbor joining dendrogram (Figs. 4, 5). PCA analysis based on the allele frequency of SSR showed five distinct clusters; the first axis 1 explained 35.86% of the variance with the axis 2 explaining 24.31% of variance. Admixture of A. flavus isolates was in clusters A, B C and D from isolates across the three sites Kitale, Kitui and Nakuru. Cluster E was composed of one distinct isolates (NAX 54) from Nakuru (Fig. 4). Further analysis based on the dendrogram (Fig. 5) showed five distinct clusters: Cluster one comprised of isolates from two geographical regions. Isolates from Nakuru formed the major part of this cluster with some Kitale isolates being spotted within this cluster. Cluster two also comprised mainly of Nakuru isolates with only one Kitui isolate (Kitui 6). Cluster three was a combination of isolates from all the three geographical locations in varied proportions. The most distinct cluster was cluster four that comprised of isolates exclusively from Nakuru with a few Kitui isolates



Table 2 Mean aflatoxin levels calculated on basis of	f the legal limit of	10 µg/kg
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Sample site	AEZ	Ν	Aflatoxin contaminated samples	Mean* (µg/kg)	Range (µg/kg) (min–max)	Frequency of contaminated samples
Kitui	Semi-humid–semiarid	30	3	0.68ª	< 2-13	<10 µg/kg 29/30 (97%)
						>10 µg/kg 1/30 (3%)
Kitale	Sub-humid–semi-humid	40	23	9.70 <sup>b</sup>	< 2-72	< 10 µg/kg 28/40 (70%)
						>10 µg/kg 12/40 (30%)
Nakuru	Semi-humid	60	50	4.20 <sup>a</sup>	<2-13	< 10 µg/kg 58/60 (97%)
						>10 µg/kg 2/60 (3%)

N, sample size

\*Means within a column with different superscripts are significantly different (p < 0.05



appearing toward the end of the cluster (Fig. 5). The final Cluster five also stood out with isolates only from Kitale region. Clustering within the dendrogram did not show correlation toward either geographical location or in vitro aflatoxin production potential.

# Informativeness of SSR markers used in the genetic diversity study

SSR markers were used due their co dominant nature in distinguishing both homozygous and heterozygous alleles. The major allele frequency and gene diversity were ranged from 0.23 to 0.72 with a mean of 0.45 and 0.45 to 0.87 range with mean of 0.69, respectively (Table 3). The heterozygosity ranged from 0 to 0.05 with a mean of 0.02. The low heterozygosity mean value of 0.02 correlates to the haploid nature of the *A. flavus* fungus. Each SSR marker has an expected size range at which it is supposed to amplify. For all the 13 markers, amplification occurred at different positions. This was also confirmed after genotyping as shown by the different alleles at a given loci. The different alleles gave an allele frequency mean of 0.45.

## Discussion

This study provides the first snap shot of *A. flavus* genetic diversity from stored maize, the levels of toxins in the stored maize from different agro-ecological zones in Kenya. High moisture content above 13% has been found to favor fungal growth and mycotoxins development [8, 19]. This study measured moisture content, a key factor

influencing aflatoxins production, and aflatoxin levels in three different ecological regions in Kenya. High variation in moisture content above recommended 13% by Food and Drug Administration regulatory guidance for stored maize was observed [20, 21]. However, there was no significant difference in the levels of moisture content across the regions (Table 1). These findings concur with previous studies that have been conducted in Kenya [22].

High moisture content of the maize kernels could be attributed to poor harvest practice or heavy rainfall during harvesting and inadequate drying. From the field observation, it was found that most farmers stored their maize using polystyrene bags placed directly on the floor that favor moisture development within the maize kernels. This study confirmed the fungal contamination of maize kernels with *Aspergillus* and *Fusarium* being the predominant fungi identified at frequency of 51.91 and 5%, respectively (Fig. 2). Nevertheless, *A. flavus* was found to be the most predominant species, a finding that concurs with previous studies that have been conducted in Kenya and some countries in Africa [19, 23, 24].

Aspergillus and Fusarium are the dominant field fungi with their dominance at post-harvest indicative of preharvest contamination of the maize kernel, by the fungi occurring prior to storage. Thus, pre-harvest contamination of maize kernels directly influences mycobiota at post-harvest of maize kernel [25]. A. flavus the most dominant species (Fig. 2) could be attributed to the saprophytic nature of the fungus, its present in soils, and decaying plant material [26, 27]. Household farmers in Kenya harvest their maize and sun dry their produce



directly on the ground, plastic or sisal gunny bags. Direct contact of maize kernels with soils increases the susceptibility of *A. flavus* colonization [28].

This could explain the high levels of *A. flavus* isolates across the three sites. Co-occurrence of mycotoxigenic fungi (*Fusarium*, *Aspergillus* and *Penicillium*) within maize kernels could indicate possibility of multiple toxins within the kernels. Further studies would, however, be required. In vitro assay using an aflatoxin inducing medium YESA has shown that most *A. flavus* isolates isolated in stored maize samples were toxigenic with significant amount of aflatoxin production (Fig. 2). Our findings concur with previous studies in Kenya that reported higher levels of toxigenicity *A. flavus* isolates compared to atoxigenic isolates, both in Eastern and Rift Valley [23, 29].

Similar results were reported in the southern USA [26, 30]. However, our finding contradicts previous studies in Nigeria [28] and Argentina [31] where the atoxigenic *A. flavus* were majority. Modern agricultural practices have been implicated for development of an ecological niche responsible for the high toxigenic *A. flavus* isolates [28, 32] and having an inclination toward the high toxigenic isolates observed in Kenya. *A. flavus* isolates from Kenya have previously been described as distinctly different compared to other world strains and

highly aflatoxigenic [29]. The levels of contamination of *A. flavus* were higher than the tolerance limit as given by the International Commission on Microbiological Specification for Food (ICMSF).

Aflatoxin levels in analyzed stored maize were above 10  $\mu$ g/kg, the legal limit (Table 2) and a positive and significant correlation was observed between A. flavus colonization and aflatoxin production (p = 0.01, r = 0.85). These findings are similar to previous studies in Kenya where high aflatoxin levels were reported in Eastern and Rift Valley [23], Kitui and Makueni regions [11]. Lewis and colleagues reported maize within Eastern province, as significantly more likely to have aflatoxin contamination compared to other regions in Kenya (odds ration = 2.71, 95%). Variation in aflatoxin contamination in maize within Kitui (Eastern Kenya) and Nakuru and Kitale (Rift Valley), could be explained by several factors; Nakuru and Kitale are major maize producers annual maize production is per acre at 25 bags [33]. Surplus amounts of maize within households lead to prolonged durations between harvest and consumption.

We hypothesis that the high aflatoxin levels in Kitale are attributed to a combination of factors. These could be the prolonged storage duration previously associated with an increase in aflatoxin contamination [34] coupled

# Table 3 Summary statistics showing the major allele frequency, allele number, gene diversity, heterozygosity and the polymorphism information content (PIC)

Marker	Major allele frequency	Allele no.	Gene diversity	Heterozygosity	PIC
AF8	0.7079	11.0000	0.4795	0.0449	0.4600
AF10	0.2838	15.0000	0.8616	0.0000	0.8500
AF11	0.5167	10.0000	0.6939	0.0111	0.6718
AF13	0.4615	7.0000	0.6690	0.0000	0.6153
AF16	0.7267	9.0000	0.4533	0.0116	0.4335
AF48	0.2963	13.0000	0.8134	0.0123	0.7908
AF54	0.5893	9.0000	0.5709	0.0595	0.5136
AF53	0.3767	8.0000	0.7396	0.0137	0.7005
AF55	0.3614	10.0000	0.7783	0.0000	0.7499
AF64	0.2381	16.0000	0.8790	0.0000	0.8686
AF18	0.3077	12.0000	0.8327	0.0000	0.8149
AF25	0.6463	10.0000	0.5581	0.0244	0.5375
AF43	0.3750	8.0000	0.7491	0.0000	0.7111
Mean	0.4529	10.6154	0.6983	0.0137	0.6706

with an aggressive aflatoxigenic A. flavus isolates and high MC of the stored maize kernels. We report for the first time the genetic diversity of A. flavus isolates from stored maize using eleven microsatellite (SSR) markers. All eleven SSR markers showed high level of polymorphism, with average polymorphism information content (PIC) as 0.67, the lowest PIC being 0.46 and highest 0.86 (Table 3). The average number of alleles per locus ranged between seven and 16 per locus with an average of 10.6154. Possible explanation for higher genetic diversity within Kenvan isolates could be due to the high genetic recombination associated with A. flavus [35]. Comparison with previous studies using the same SSR markers by Grubisha and Cotty [36] indicated fewer alleles of between two and 19 across VCG; however, the A. flavus isolates they used were not from Kenya. The diversity study showed that A. flavus isolates were highly diverse across the agro-ecological zones but with no relation to geographical distance.

This findings correlate with findings by Wang et al. [37] and Geiser et al. [35] in the USA where there was lack of a distinct geographical patterns linked to *A. flavus* genotypes and aflatoxin production from various sources. The lack of distinct geographical pattern within *A. flavus* isolates could be attributed to narrow vegetative compatibility group (VCG) in circulation in Kenya, with similar dominant VCG in particular found within maize growing areas resulting to this niche. However, further studies would be required for confirmation since we did conduct VCG analysis on the isolated *A. flavus* isolates.

## Conclusion

Findings from this study showed that maize stored in the sampled geographical regions were found to be highly contaminated. The level of extracted aflatoxins was found to be higher than the recommended level of (10  $\mu$ g/kg). *A. flavus* strains were found to be the most predominant hence calling for adequate procedures that maintain low moisture content.

### Abbreviations

MC: moisture content; ICMSF: International Commission on Microbiological Specification for Food; PIC: polymorphism information content; PCA: principal coordinate analysis.

#### Authors' contributions

GWG conducted the mycological and genetic study tests and also took time to write the manuscript. AKN was the main supervisor who contributed a lot in giving guidance in the course of the study, editing the manuscript and coordinating all research activities. JH was the principal investigator who provided funding for the project through the ABCF fellowship program. GBG was the post-doctoral scientist who designed the study, supervised the laboratory experiments and availed the required reagents and equipment. JW helped with sample analysis, culturing of the isolates and statistical analysis. All authors read and approved the final manuscript.

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### **Competing interests**

The authors declare that they have no competing interests.

### Availability of data and materials

All data generated or analyzed during this study are included in this published article (and its Appendix files).

### **Consent for publication**

Not applicable.

### Ethics approval and consent to participate

Ethics approval and consent to participate is not applicable in this study.

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## Appendix

See Table 4.

Table 4	List of primers and t	heir sequences that w	vere used for PCR and genotyping
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Name of marker	Group no.	Primer sequence (5'–3')	Probe (DYE)	Repeat motif and scaffold	Expected size range (base pairs)
AF8	1	F: GGCTTGCAAGTCTAATCTGC	PET	(AAG) <sub>16</sub> -2911	178
		R: TGTGTCTTTGGGATGTATTTCG			
AF10	1	F: CGTGCCATCGTAGAACTTCC	6-FAM	(TAC) <sub>10</sub> -2504	274
		R: GGGACATTGGTAGTACCTTGG			
AF11	1	F: GACGGCGGTGTACAGTGATAGT	NED	(AAG) <sub>12</sub> -2504	142
		R: GCAGTAACGCGATTATGCAAGT			
AF13	1	F: CGTGTTCCAAGTCAAGTCCA	VIC	(CTT) <sub>9</sub> -1866	136
		R: TCTCCTTTGCTCCCGTTAGA			
AF16	2	F: AGGTCGTGAAGCCGATACTG	6-FAM	(TTG) <sub>10</sub> -2541	179
		R: CAAAGGCAGATCGAAGGGTA			
AF48	2	F:CCACGTTCCACTGTCTCCTT	VIC	(AAG) <sub>12</sub> -2802	352
		R: GCAAGTCCTCCACTGATGGT			
AF54	2	F: GAGAGGTATGCCTTCATGCTTT	VIC	(ACAT) <sub>8</sub> -1918	173
		R: AGTGTGTCGACATGGATTGC			
AF53	3	F: TCCTCCAAAGTGACCAAAGC	6-FAM	(TCT) <sub>8</sub> -1918	147
		R: TGCGATTGCTCAGGACATAG			
AF55	3	F: TCATGATCAACCCAGTCCAA	PET	(GT) <sub>10</sub> -1739	169
		R: TGGGCAGAATATCCACGTCT			
AF64	3	F: ACTGAGCATTCACCTGCTTG	VIC	(AC) <sub>16</sub> -2856	177
		R: ACCTAGCGGGAGGTTCTAGG			
AF18	4	F: CCGCCTCCGAGTGTACTTA	NED	(TTC) <sub>29</sub> -1918	198
		R: CAATAAGGATCGCAATCGTACA			
AF25	4	F: GTGAGAGCAATTGGGAAACC	VIC	(TAC) <sub>7</sub>	304
				TCC (TAC)-2504	
		R: TGACCAATATGCTGGAGGTG			
AF43	4	F: GTGAGAGCAATTGGGAAACC	VIC	(GAG) <sub>13</sub> -2634	392
		R: TGACCAATATGCTGGAGGTG			

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